

UT SOUTHWESTERN
INTELLECTUAL PROPERTY QUESTIONNAIRE
INSTRUCTIONS FOR COMPLETION

ATTENTION: THIS FORM CANNOT BE PROCESSED IF EVERY QUESTION IS NOT ANSWERED. INCOMPLETE DISCLOSURES WILL BE RETURNED TO THE DESIGNATED CONTRIBUTOR FOR COMPLETION AND RESUBMISSION!!

The completion of the attached Intellectual Property Questionnaire is the first step in the disclosure of new inventions discovered at UT Southwestern. First, this questionnaire is used as a source of basic information to help the Office for Technology Development and the Intellectual Property Advisory Committee (IPAC) evaluate your invention. Secondly, this questionnaire serves as documentation and evidence of the creation of your invention. Lastly, this questionnaire serves as a first disclosure to the patent attorney or patent agents assigned to your invention and serves as an information base for patentability search and opinions.

The Intellectual Property Questionnaire is divided into four major sections. It is important that the inventors of the intellectual property fill out the questionnaire as completely as possible. The importance of each section is discussed below:

- (1) Description of the Invention - It is important that we receive an adequate description of the invention, its special characteristics and its uses in order to evaluate the invention and to initiate marketing and licensability studies. Use this section to highlight the differences between this technology and the "state of the art" in the area.
- (2) Contributor Information - **(Please designate a corresponding-contributor who will be the main contact for this disclosure)**. It is necessary for each contributor named on the invention to help in the completion of this form. The information is used to identify your current status and the status of your co-contributors (which is especially important when co-contributors are not UT employees). Your home address information is used in various legal documents filed in the U.S. Patent and Trademark Office. In addition, when authorized, this information is used to direct disbursements of licensing income to your home.
- (3) Publications and/or Oral Disclosures - Publications and oral disclosure have a bearing on the potential patentability of your invention. It is important that this section be filled out completely. Add additional pages if necessary.
- (4) Financial Resources Used/Prior Obligations - Funding agencies, private grantors and sponsors oftentimes have rights in inventions. It is imperative that this section is filled out as completely as possible.

If you have any questions, please contact Ray Wheatley, Kathy Schutt or Steve Lin (648-1888) in the Office for Technology Development. We appreciate your efforts!

PLEASE TYPE OR PRINT CLEARLY
RETURN TO DIRECTOR: TECHNOLOGY DEVELOPMENT MC 9094

**THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER
INTELLECTUAL PROPERTY QUESTIONNAIRE**

DESCRIPTION OF THE INVENTION

Descriptive Title of Invention:

Who are the individuals that contributed to the conception of the invention (attach Contributor Information Page for each):

Corresponding Contributor _____

Other Contributors _____

INTELLECTUAL PROPERTY DESCRIPTION

(Attach separate pages, if necessary)

Briefly summarize the invention, its use and purpose: _____

What particular features of the invention are unusual? _____

Yes No Was this material(s) covered by a Material Transfer Agreement, Sponsored Research Agreement, Collaboration Agreement, or any other type of agreement that restricts the use of this material(s)?

If yes, please attach a copy of the agreement pertaining to the use of the material.

Please categorize the potential uses of your invention below by circling all anticipated uses:

- | | |
|---------------------|---------------------|
| Service | Research Reagent |
| Product-Therapeutic | Drug Identification |
| Product-Device | Diagnostic Test |
| Product-Method | Other: _____ |

Identify the diseases or condition affected by the invention:

| Indication | Patients in U.S./ Year | New Cases in U.S./ Year | Deaths in U.S./ Year |
|------------|------------------------------|-------------------------------|----------------------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

What companies do you believe would be interested in commercializing the invention?

Have you worked with/been approached by any companies regarding the invention (circle): Yes No
If Yes, which companies?

PUBLICATIONS AND/OR ORAL DISCLOSURES

Identify any disclosures, either written or oral, of the intellectual property made or to be made to others. ATTACH COPY OF PUBLICATION(S) OR DRAFT THEREOF. Please also attach: (1) any additional publications which will give background information for the IPAC committee; and (2) the most relevant articles, journals, or abstracts of another author.

Publication or oral disclosure (1)

Title _____

Authors: _____

Type of disclosure (circle): Written Oral Other: _____

If written (circle): Journal Abstract Poster Other: _____

Publication Date: _____ Location: _____

Publication category (circle): Public Confidential Internal Other _____

If confidential (circle): Confidentiality agreement complete
 Letter
 Oral Other: _____

Publication or oral disclosure (2)

Title _____

Authors: _____

Type of disclosure (circle): Written Oral Other: _____

If written (circle): Journal Abstract Poster Other: _____

Publication Date: _____ Location: _____

Publication category (circle): Public Confidential Internal Other _____

If confidential (circle): Confidentiality agreement complete
 Letter
 Oral Other: _____

Add additional pages if necessary.

FINANCIAL RESOURCES USED/OBLIGATIONS

Please circle one answer for each of the following questions. If yes to any below, please fill in grant/contract information as listed.

Was development of this intellectual property aided in any way by a grant or contract? Yes No

Is this intellectual property the subject of a currently pending grant or contract proposal? Yes No

To your knowledge, is this intellectual property encumbered or obligated to any third party? Yes No

Grant/Contract/Sponsor (1)

Entity Name: _____

Type (circle): NIH DARPA DOD Other Federal Industry
 Non-Profit Private Donor Other: _____

Title of Project: _____

Briefly describe purpose: _____

UTSW Acct #: _____ Grant or Contract #: _____

Name of project manager for the contract at DARPA or DOD: _____

Grant/Contract/Sponsor (2)

Entity Name: _____

Type (circle): NIH DARPA DOD Other Federal Industry
 Non-Profit Private Donor Other: _____

Title of Project: _____

Briefly describe purpose: _____

UTSW Acct #: _____ Grant or Contract #: _____

Name of the project manager for the contract at DARPA or DOD: _____

Add additional pages as necessary to describe other funding sources.

CONTRIBUTOR INFORMATION

Attach one page for each contributor named on the first page.

Contributor's Name: _____
Last First MI Degree

U.S. Citizen (circle)? Yes No For Non-U.S. Citizen, Citizen of _____

Department: _____ Division: _____

Campus Phone #: _____ Campus FAX #: _____
E-mail Address: _____
Campus Mail Station: _____

Position Title (circle): Professor Instructor Resident
Assoc. Professor Fellow Staff
Asst. Professor Student Other: _____

Other Appointment (circle): None HHMI VA Other: _____

% of time employed at UT: _____% Other employment (circle one)? Yes No
(If yes, name of employer: _____)

Home Address: _____

City State ZIP

Home Phone #: _____

If a patent application for the intellectual property disclosed above is filed in the U.S. Patent and Trademark Office, the inventor(s) will be required to execute an oath or declaration affirming, among other things, that he/she/they is/are the original and first inventor(s) of the subject matter claimed in the application. ("Original" means derived from any source or persons other than the person or persons named as the inventorship entity.) I/We confirm that the subject matter disclosed herein is original to me/us.

Contributor's Signature: _____

Typed/Printed Name: _____ Date: _____

Please attach a copy of your current C.V.